

ADULT SOCIAL CARE RISK REGISTER AUGUST 2015

Service Area	ID1	Identification	Impact	Owner	Raw Impact	Raw Likelihood	Raw Risk Score	Existing Controls	Sources of Assurance	Net Impact	Net Likelihood	Net Risk Score	Further Actions	Deadline	Responsible Officer
Adult Social Care	ASC1	Failure to safeguard vulnerable persons (older persons; persons with physical & learning disabilities; mental health, transitional young people and other vulnerable adults) leading and resulting in resulting in abuse, death or injury of vulnerable persons (both in terms of safety and financial abuse)	Abuse, Death or injury of vulnerable persons. Reputational damage to Council.	Head of Reablement and Safeguarding / Adult Social Services	6	3	18	Safeguarding Adults Teams deal with all safeguarding alerts and investigations - specialisation to improve response times and quality. Multi-agency safeguarding adults board, independent chair, Multi - Agency Policies and Procedures, and multi-agency audit of quality. Strategic and operational links, with clear oversight and audit to ensure robust adherence to safeguarding children's policies and procedures. Strategic plan for multi-agency safeguarding board and ASC service plan set out priorities for improvement within ASC and across partner agencies.	Care Quality Commission Inspections; Carers Survey; Internal Audit; Office of Protection. Children's Service, Ofsted, Internal Audit	6	2	12	None	N/A	Head of Reablement and Safeguarding
Adult Social Care	ASC4	Budget / Demand - by 2020 ageing population and more complex needs are projected to increase costs by 26%, and Care Act has introduced new legislation and responsibilities, the full effect of which are not yet known, and the Council needs to make a further £53m savings	Failure to deliver a more efficient cost effect service will result in overspending budgets, or not delivering on statutory responsibilities to service users and the new responsibilities for carers in the Care Act.	Director Adult Social Care	6	5	30	Demand, and costs are monitored on a monthly basis by DMT. All aspects of the budget (on a priority / impact basis) are reviewed to identify new and more efficient ways to deliver services and support.	Change and Improvement Board, Corporate financial reporting	6	4	20	Continue close monitoring through Change and Improvement Board to ensure connections to performance and quality are maintained. Deliver key projects (NAIL/MH Improvement project/Customer Journey Review/Rehab and Reablement). Identify and develop the next tranche of projects on a priority basis .	31/03/2016	Director Adult Social Services
Adult Social Care	ASC5	Service quality failure (CQC inspection failure, increased safeguarding), provider failure (organisation decides not to deliver or goes bankrupt) or market failure (unable to purchase the required services for people)	Poor / no service being delivered to service users, leading to potential of abuse or neglect, and not delivering statutory responsibilities. Significant reputational impact. Overspending budgets	Head of Commissioning & Quality Services Adult Social Services	6	3	18	Dedicated commissioning function to strategically manage the provider market and we are reviewing and renegotiating existing service models and contractual arrangements.	CQC / corporate procurement function / internal audit	6	2	12	Continued work with the WLA to deliver the full value of the home support framework, development of the APC2 scheme to go live in April 2016 to manage price and quality of residential and nursing homes. Core commissioning work as described in the service plan to develop new services, de-commission services and contract manage quality in the market.	01/10/2015	Head of Commissioning and Quality Adult Social Services